

# TE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Serial #:

Stevenson, et al

10/086,619

Filing Date:

March 1, 2002

**Examiner:** 

Unknown

Art Unit:

1713

Date:

April 16, 2002

Title:

Phosphite Ester Additive Compositions

Commissioner of Patents and Trademarks Washington, D.C. 20231

# Supplemental **Information Disclosure Statement**

- RECEIVE TC 1700 This Supplemental Information Disclosure Statement is made within the earlier of: (1) three months after the filing date of the application §1.97(b)(1); (2) within three months of the date of entry of the national stage as set forth in §1.491 in an international application §1.97(b)(2); (3) before the mailing date of a first Office action on the merits §1.97(b)(3); or (4) before the mailing of a first Office action after the filing of a request for continued examination under §1.97. Therefore, this statement is considered timely under 37 C.F.R. §1.97(b).

According to the terms of 37 C.F.R. §1.97(g), this Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(a) exists. While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56(b), it is not intended to constitute admission that any patent, publication or other information referred to therein is "material to patentability" under 37 C.F.R. §1.97(h) for this invention unless specifically designated.

In accordance and conformity with Form PTO-1449, the applicant will draw the examiner's attention to various column / line number combinations. To the best of the applicant's ability, these sections are believed to be illustrative of at least portions of the teachings of the prior patented invention. It is however, entirely conceivable that technical minds may come to different conclusions as to the relevance of any one passage or series of passages, within a patent. By identifying these column / line number combinations, it is not meant to be construed as an admission on the part of the applicant that other sections are not relevant. The examiner is invited to review the documents in their entirety and reach his or her own conclusion.

S/N: 10/086,619 April 16, 2002

In accordance with 37 C.P.R. 3 158, applicant wishes to call the attention of the Examiner to the following additional references, which were uncovered by the applicant. Copies of these references are also submitted herewith along with form PTO-1449, as well as summaries of the references.

#### U.S. Patent Documents

Previously provided in applicant's Information Disclosure Statement filed April 9, 2002.

# Foreign Patent Documents

#### Other Documents

No foreign documents are in the possession of the applicant at this time.

\*\*Proposition\*\*

#### Presentation at National Meetings

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Ref. #	Journal	Author	Publication Date	
CA	Plastics Additives & Compounding, the international magazine for users of additives	D. Stevenson, M. Harr, B. Hood, T. Jennings	Feb. 2002	
MAT	Title	Flexible PVC stabilization: the phosphite approach		
Ref. #	Journal	Author	Publication Date	
СВ	Technical Bulletin 01-03	D. Stevenson, M. Harr, B. Hood, T. Jennings	September 2001	
MAT	Title:	Dover Phosbooster Advanced Phosphite Ester Technology, cuts flexible PVC costs with no toxic heavy metals or solvent		
Ref. #	Journal	Author	Publication Date	
СС	Vinyl Retec	D. Stevenson, M. Harr, M. Jakupca	Sep. 2001	
WAT	Title:	Optimizing Cost Performance of Flexible PVC Compounds with PhosBoosters		

Ref. #	Journal	Author	Publication Date	
CD	ACS	D. Stevenson, M. Harr, M. Jakupca	August 2001	
MAG	Title:	Phosphite Ester Compositions for PVC Compounds		
Ref. #	Journal	Author	Publication Date	
CE	Journal of Vinyl & Additives Technology	D. Stevenson, M. Harr, M. Jakupca	March 2002	
MOT	Title:	Phosphite Ester Compositions for PVC Compounds		

### Presentations at Invited Seminars

To the knowledge of the applicant, there has been no presentation of this subject matter at invited seminars.

# **Best Information Available**

This Information Disclosure Statement is based upon the information contained in the undersigned attorney's file as of the filing date of this statement and is inclusive of the best information known to the undersigned at that date. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully Submitted, Buckingham, Doolittle & Burroughs, LLP

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#### LFW/di

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PTO/SB/21 (6-98)

		Application Number	10/086,619		
TRANSMITT	AL	Filing Date	01 Mar 2002		
FORM (to be used for all correspondence after initial filing)		First Named Inventor	Stevenson, Don R., et al.		
		Group Art Unit	1713		
		Examiner Name	Unknown		
Total Number of Pages in This Submi	ssion 28	Attorney Docket Number	47399.0015		
	ENCLOS	SURES (check all that app	ly)		
Fee Transmittal Form	Assignm (for an A	nent Papers Application)	After Allowance Communication to Group		
Fee Attached	Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information		
Affidavits/declaration(s)	Petition to Convert to a Provisional Application		Status Letter		
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address		Additional Enclosure(s) (please identify below):		
Express Abandonment Request	Terminal Disclaimer		Form 1449		
Supplemental Information Disclosure Statement	Small Entity Statement		Copies of 5 references Return postcard		
Certified Copy of Priority		t for Refund	Copies of 5 references Return postcard		
Document(s)	Remarks	j			
Response to Missing Parts/ Incomplete Application		<i>&gt;</i>			
Response to Missing Parts under 37 CFR	Remarks Remarks				
1.52 or 1.53			7 6 0		
SIGNATU	RE OF APPLI	CANT, ATTORNEY, OR A	AGENTO		
Firm or Individual name Louis F. Wa	gner 🔎				
Signature	Jour Le				
Date April 17, 2	002	\	- <u> </u>		
	CERTIFIC	ATE OF MAILING			

Date April 17, 2002 Signature

Burden Hour Statement: This form is estimated to take 6.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.